

# Quantum Adiabatic Optimization



VS



# Quantum Monte Carlo

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# adiabatic algorithm

[Farhi, Goldstone, Gutmann, Sipser '00]

**Problem:** Given  $f: \{0,1\}^n \rightarrow \mathbb{Z}$ , minimize  $f(z)$ .

**Approach:** apply  $H(s) = (1-s) H_X + s H_f$

$$H_X = - \sum_{i=1}^n \sigma_x^{(i)} \quad H_f = \sum_{z \in \{0,1\}^n} f(z) |z\rangle \langle z|$$

equiv:  $H(s) = (1-s)$  (hypercube Laplacian) +  $s$  diag( $f$ )

**Adiabatic theorem:**

Running for time  $\text{poly}(1 / \min_s [\lambda_1(s) - \lambda_0(s)])$   
guarantees that we will end in the ground state of  $H_1$ .

Not discussed in this talk:

- noisy dynamics
- non-stoquastic Hamiltonians

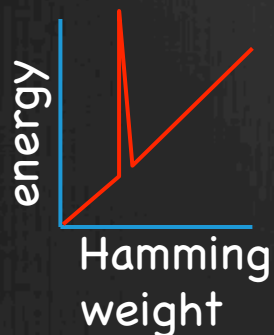
# QAO vs simulated annealing (SA)

## Simulated annealing:

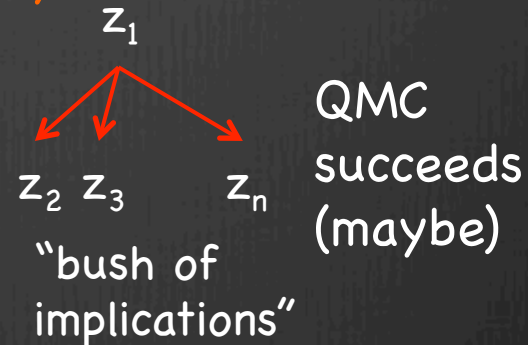
- Given state  $x$  repeatedly
  - Choose random neighbor  $y$
  - With probability  $\min(1, \exp((f(x)-f(y))/T))$  replace  $x$  with  $y$ . Otherwise do nothing.
- Gradually lower  $T$

Farhi  
Goldstone  
Gutmann  
q-ph/0201013

## Problems where QAO is exponentially faster than SA



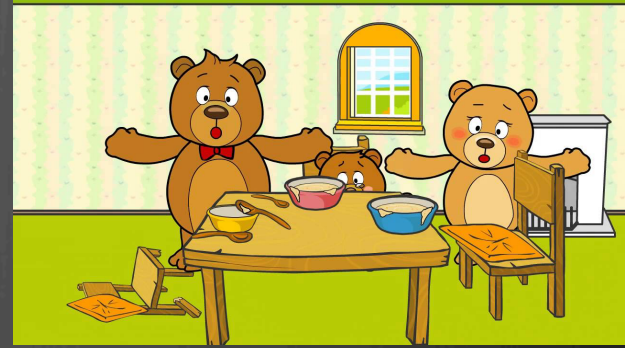
QMC  
succeeds



QMC  
succeeds  
(maybe)

Which problem features make QAO outperform classical?

# possibilities for adiabatic optimization



**pessimistic:** There is a classical simulator that runs in time  $\leq \text{poly}(\text{time required by the adiabatic algorithm})$ .

- ⊗ Grover exhibits quadratic separation.
- ⊗ evidence in favor: QMC (for stoquastic Hamiltonians).

**optimistic:** Stoquastic adiabatic evolution is universal for quantum computing.

- ⊗ Would imply collapse of PH & "approx counting = exact counting". (Proof uses QMC + post-selection.)
- ⊗ Nothing rules out fast adiabatic algorithms for factoring or 3SAT.

**intermediate:** Exponential speedups (i.e. no simulation) but weaker than general-purpose QC.

- ⊗ Oracle speedup for mostly adiabatic evolution (NSK '12)
- ⊗ evidence in favor: QMC sometimes takes exponential time

# quantum Monte Carlo (QMC)

stoquastic Hamiltonians:  $H_{xy} \leq 0$  for  $x \neq y$ .

- implies  $\rho = \frac{e^{-\beta H}}{\text{tr } e^{-\beta H}}$  is entrywise nonnegative
- $|\psi_0\rangle\langle\psi_0| = \lim_{\beta \rightarrow \infty} \rho$  is too.

aside:  $H$  gapped  $\rightarrow p(z) = \langle z | \psi_0 \rangle^2$  has high conductance

$$Z = \sum_{z \in \{0,1\}^n} \langle z | \left( e^{-\frac{\beta H}{L}} \right)^L | z \rangle = \sum_{z_1, \dots, z_L \in \{0,1\}^n} \prod_{i=1}^L \underbrace{\langle z_i | e^{-\frac{\beta H}{L}} | z_{i+1} \rangle}_{\geq 0}$$

can estimate by sampling from

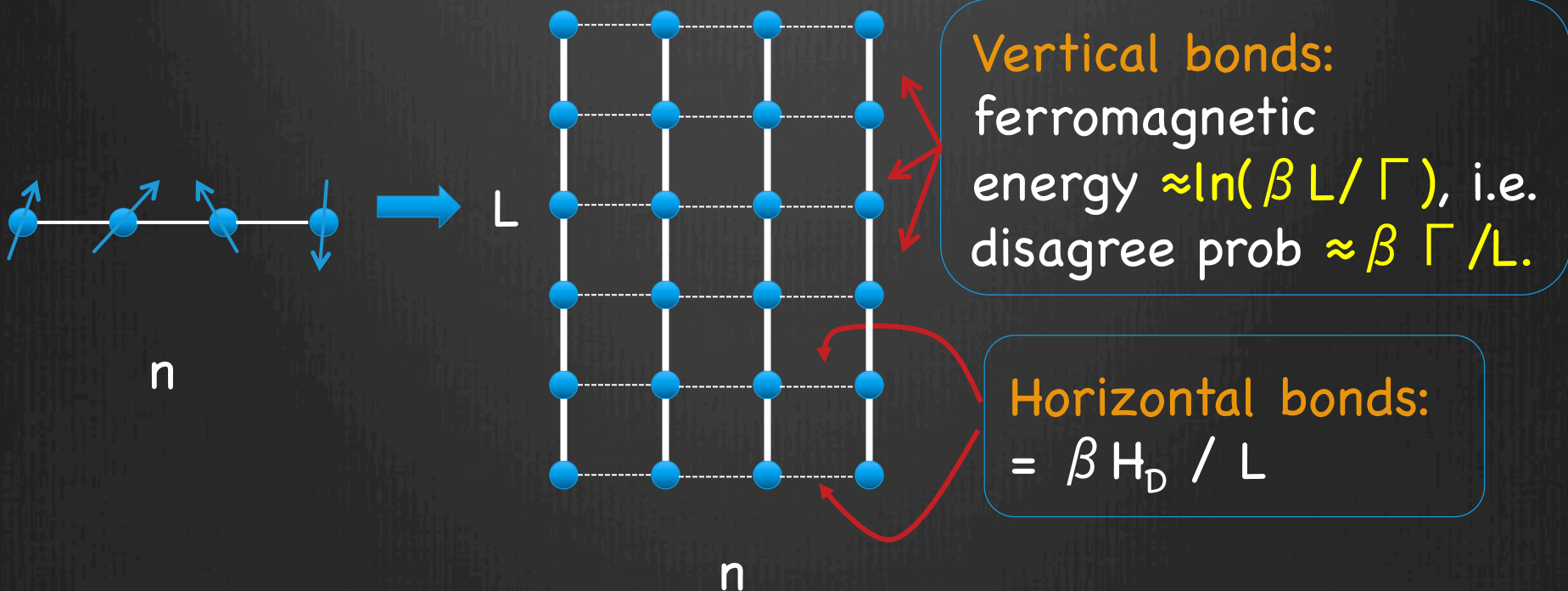
$$\pi(z_1, \dots, z_L) = \frac{1}{Z} \prod_{i=1}^L \langle z_i | e^{-\frac{\beta H}{L}} | z_{i+1} \rangle =: \frac{1}{Z} \exp(-H_{\text{cl}}(z_1, \dots, z_L))$$

# What is $H_{cl}$ ?

$$\langle z_i | e^{-\frac{\beta H}{L}} | z_{i+1} \rangle \approx e^{\frac{-\beta H_{diag}(z_i)}{L}} \langle z_i | e^{-\frac{\beta H_{off}}{L}} | z_{i+1} \rangle$$

e.g. 1-D transverse Ising model:  $H = \sum_i Z_i Z_{i+1} - \Gamma \sum_i X_i$

➡ 2-D classical ferromagnetic Ising model

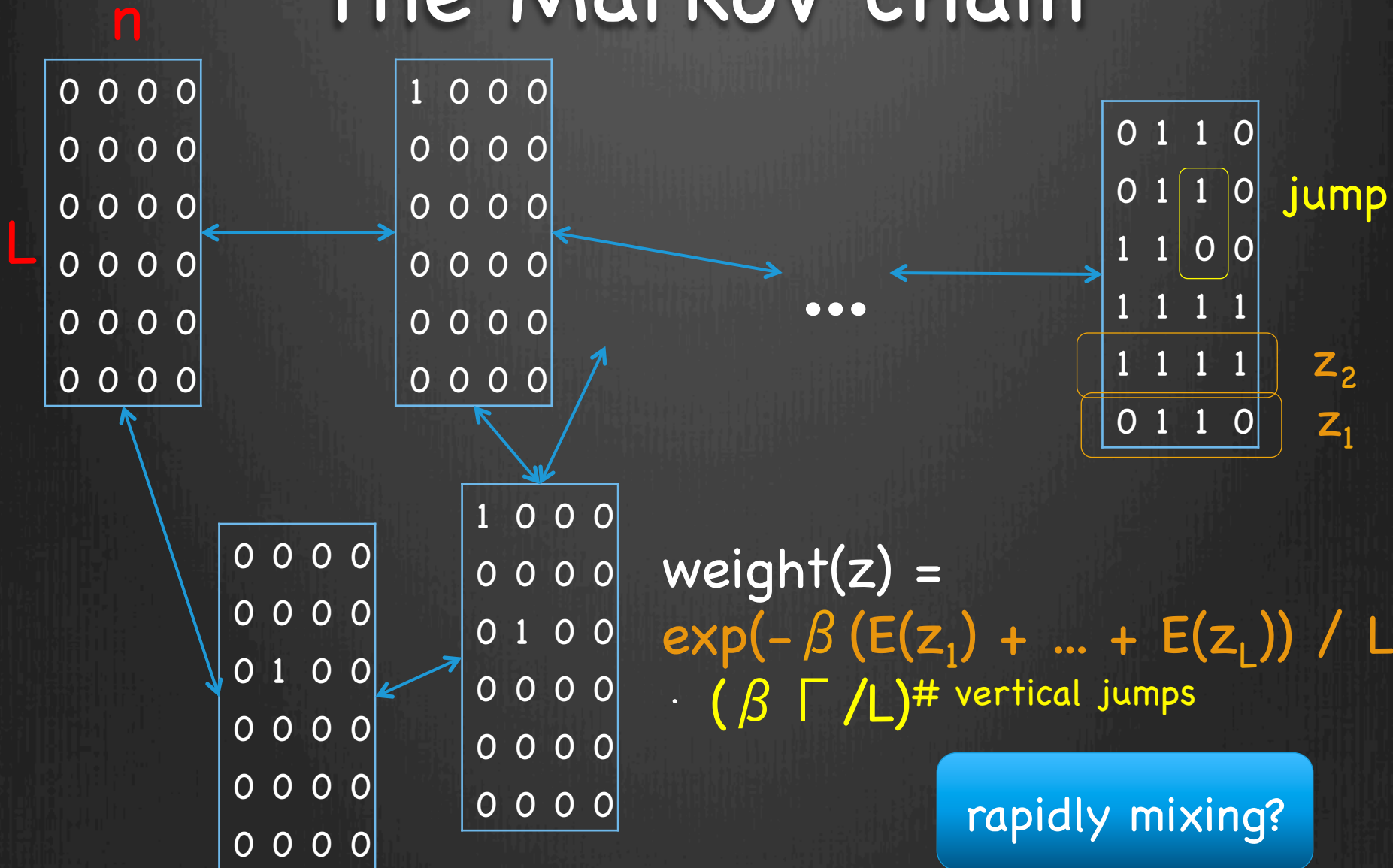


# standard part of QMC

1. Use local moves (Glauber or Metropolis) to generate samples from  $\pi(z_1, \dots, z_L)$ .  
Run-time/accuracy tradeoff unknown in general.
2. Use sampling-to-counting equivalence to estimate  $Z$  or  $\langle O \rangle = \text{tr}[O e^{-\beta H}] / Z$ .

Problem reduces to bounding mixing time (equiv. gap) of a classical Markov chain.

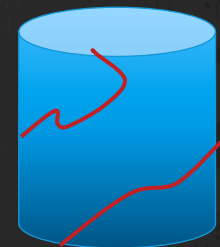
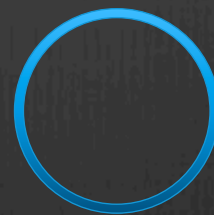
# The Markov chain





# can QMC simulate adiabatic evolution?

- Only if **gap  $\geq 1/\text{poly}(n)$** .  
since we need  $\beta \gg 1/\text{gap}$  for  $e^{-\beta H} \approx |gs\rangle\langle gs|$   
and  $\beta \propto \#$  of imaginary time steps
- Only if we **follow the adiabatic path**.
  - Otherwise would solve NP-complete problems.
  - Technically useful as a “warm start” and to avoid unphysical/unlikely configurations.
- Even then there may be **topological obstructions**  
[Hastings-Freedman '13]



# the path measure

[see also  
JSIBMTN  
1603.01293]

random walk  $z_1, \dots, z_L$  on hypercube  $\{0,1\}^n$

- conditioned to return ( $z_{L+1} = z_1$ )
- alternatively can use open boundary conditions.
- typically  $\approx \beta \Gamma n$  total jumps

Suppose that  $f(z)$  depends only on Hamming weight  $|z|$ .

- look only at Hamming weight:  $\{0,1\}^n \rightarrow \{0,1,\dots,n\}$ .
- take  $n \rightarrow \infty$  and  $\{0,1,\dots,n\} \rightarrow [0,1]$ .
- Brownian motion, or with closed B.C., "Brownian bridge"

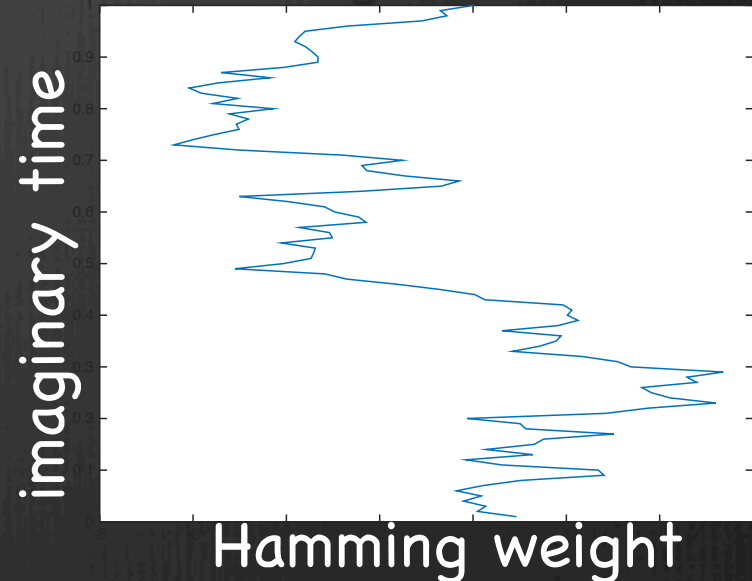
with local  $Z$  fields  $\rightarrow$

Brownian motion with drift

"Ornstein-Uhlenbeck bridge"

$$dx(t) = \theta (\mu - x(t)) dt + \sigma dB(t)$$

$\theta$  = drift,  $\mu$  = mean,  $\sigma$  = diffusion



# local times of Brownian motion

**Local time:**  $L^x(t)$  = amount of time Brownian motion  $B(t)$  spends at point  $x$ .

**Lévy's theorem:**  $\{L^0(t): t \geq 0\}$  and  $\{S(t): t \geq 0\}$  have the same distribution, where  $S(t) = \sup_{0 \leq s \leq t} B(s)$ .

In fact,  $(S-B, S) =^d (|B|, L^0)$

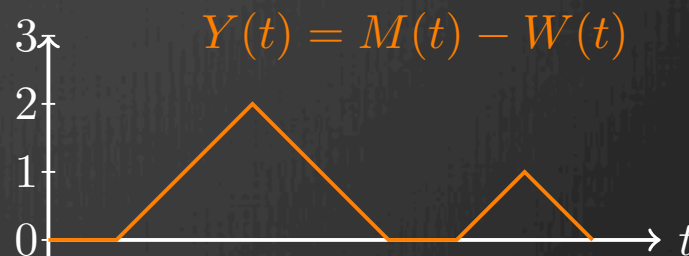
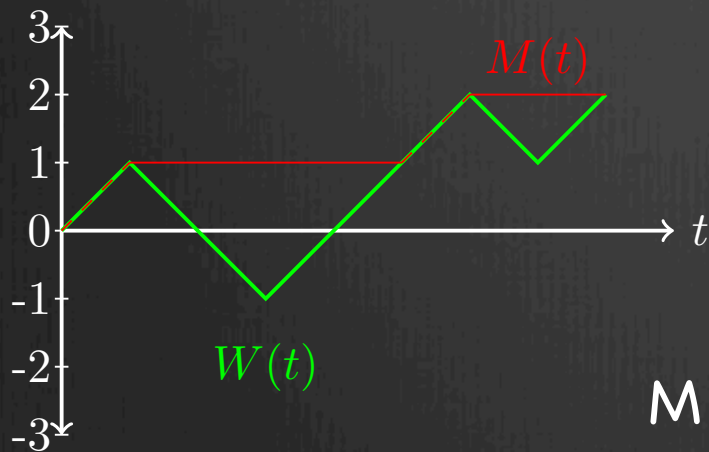
Additionally,  $S =^d |B|$ .

# local times of Brownian motion

**Local time:**  $L^x(t)$  = amount of time Brownian motion  $B([0,t])$  spends at point  $x$ .

**Lévy's theorem:**  $(S-B, S) \stackrel{d}{=} (|B|, L^0)$

**Proof:** consider discrete r walk:  $W(n) = X(1) + \dots + X(n)$  with  $X(t) = \pm 1$ .  
Let  $M(n) = \max(W(0), \dots, W(n))$ .



$M = \#$  of times  $M-W$   
remains at 0  $\stackrel{d}{=} L^0$

figure adapted from  
*Brownian Motion*  
by Mörters and Peres.

$$Y(t) = M(t) - W(t) \stackrel{d}{=} |W(t)|$$

# Hamming weight + spike

FGG '02

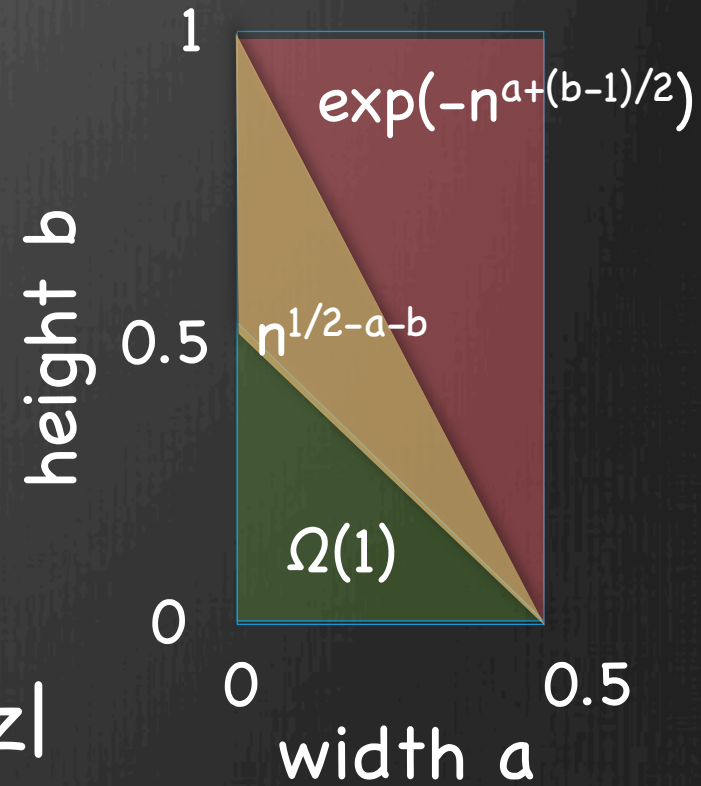
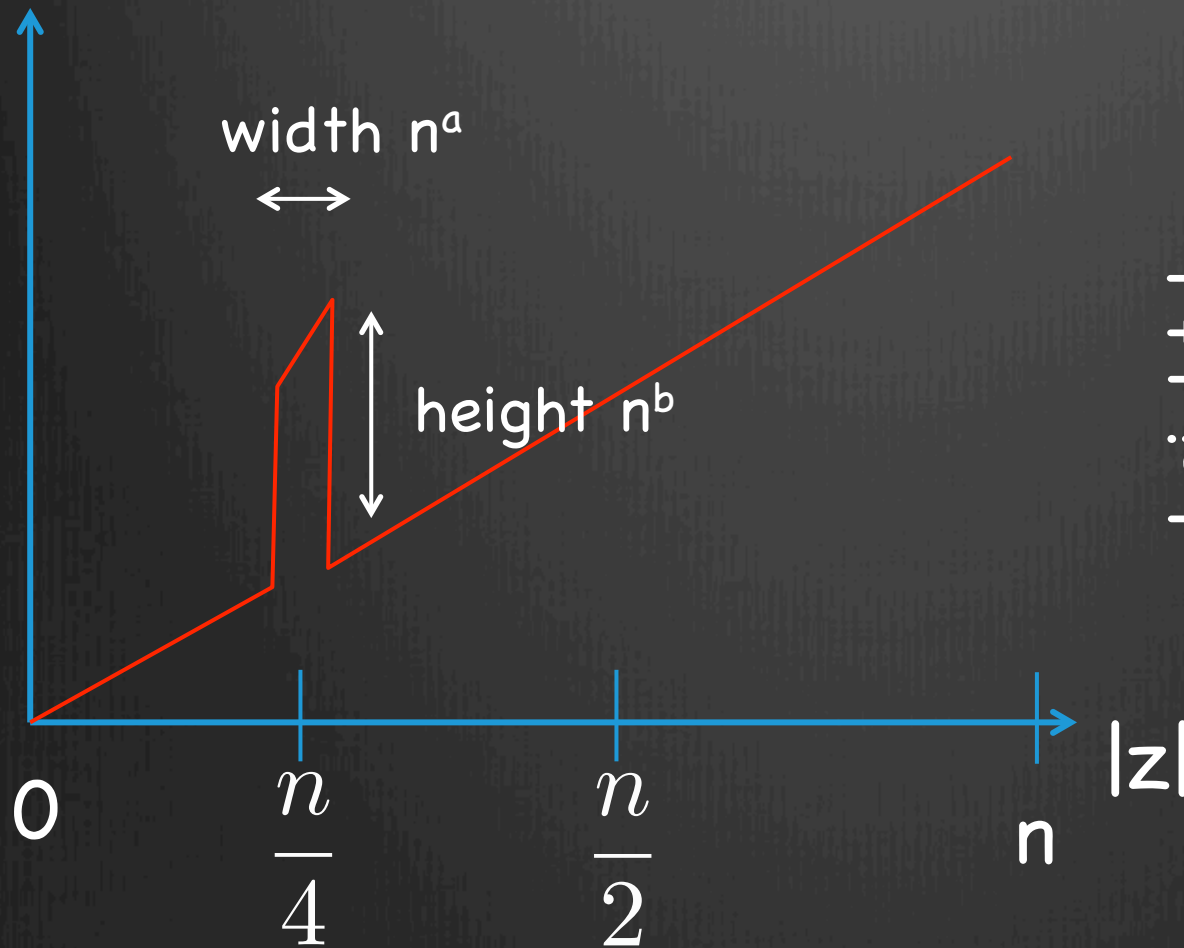
R '04

KC '15

BvD '16

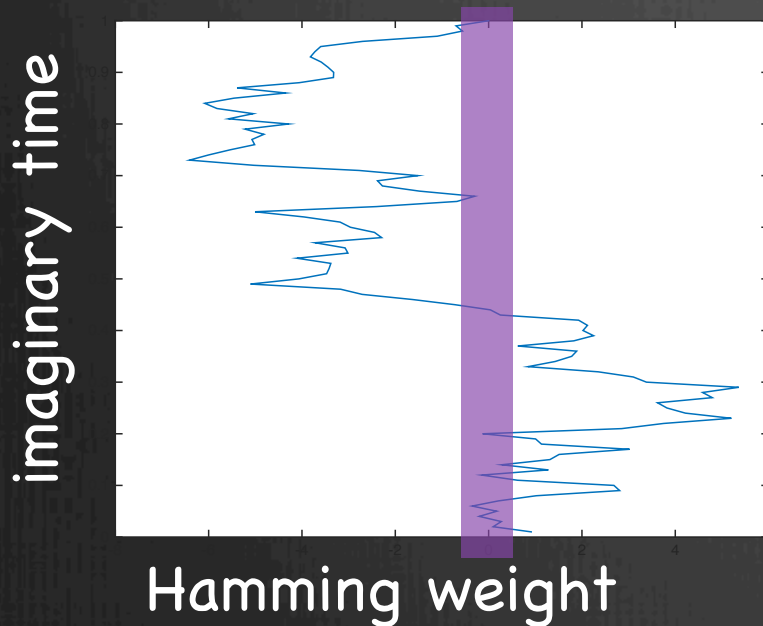
JSIBMTN '16

$f(|z|)$



# QMC and tunnelling

spike  
(width  $n^a$ , height  $n^b$ )



ST = normalized spike time  
 $\approx^d |N(0, n^{a-1/2})|$

proof using either Lévy's thm  
or quantum-classical  
correspondence.

**Feynman-Kac thm:**

$$\Pr[\text{path} \mid \text{spike}] = \exp(-\beta \text{ST} n^b) \Pr[\text{path} \mid \text{no spike}]$$

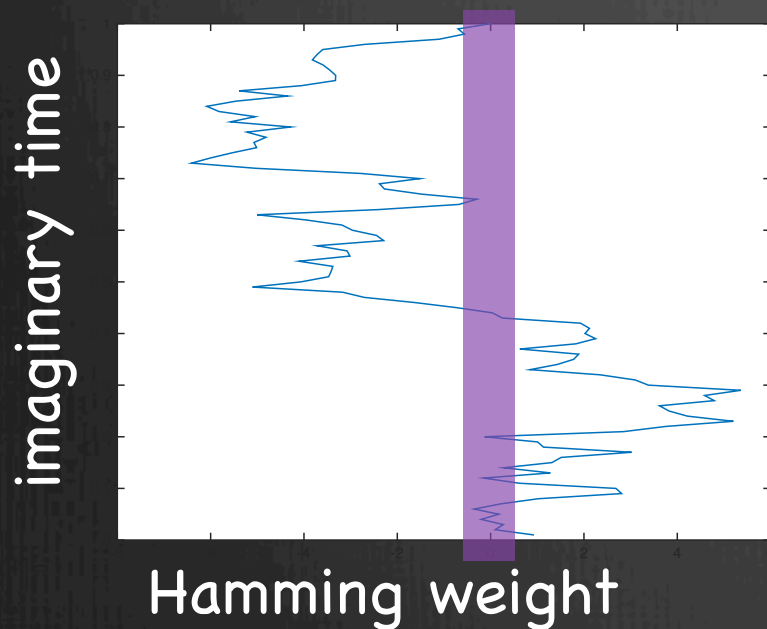
→ if  $a+b < 1/2$  then typical paths don't notice the spike.

# instantons on the cheap

spike  
(width  $n^a$ , height  $n^b$ )

$$a < 1/2$$
$$2a + b < 1$$

cf JSIBMTN'16



steps to traverse spike  $\approx n^{2a}$

$$\text{min ST} = n^{2a} / \beta \Gamma n$$

Feynman-Kac  $\rightarrow$

prob reduced by  $\approx \exp(-n^{2a+b-1})$

$\therefore 2a + b \leq 1$  is the threshold to cross the spike once.

# canonical paths

Given Markov chain  $P(x,y)$  with stationary distribution  $\pi(x)$  and  $Q(x,y) = P(x,y) \pi(y) = Q(y,x)$ .

TFAE:

- $P$  has a  $\geq 1/\text{poly}(n)$  gap between the top two eigenvalues
- The conductance  $\Phi$  is  $\geq 1/\text{poly}(n)$ .  
$$\Phi = \min_S Q(S, S^c) / \pi(S) \pi(S^c)$$
- For any  $x,y$  there exists a path  $\gamma_{xy}$  from  $x \rightarrow y$  routing  $\pi(x) \pi(y)$  units of flow such that each edge  $e$  has load  $\leq \text{poly}(n) Q(e)$ .  
("canonical paths/flows")

Heuristics analyze some plausible cut.

Proofs analyze all cuts or construct paths.



conductance



canonical paths



# open questions

- multidimensional / non-bit-symmetric tunneling.  
The  $a+b < 1/2$  approach generalizes to whenever
  - The unperturbed problem has good canonical paths.
  - The perturbation is small relative to the gap.What about the  $2a+b < 1$  scenario?
- Quantum state geometry vs QMC geometry.
  - Ground states of gapped Hamiltonian have high conductance.
  - When does this imply that **paths** in QMC do too?
- Poly-time simulation of AQC or exponential separation?

# 1-d canonical path

$x_{1,1}$	$x_{2,1}$	$x_{3,1}$	$x_{4,1}$
$x_{1,2}$	$x_{2,2}$	$x_{3,2}$	$x_{4,2}$
$x_{1,3}$	$x_{2,3}$	$x_{3,3}$	$x_{4,3}$
$x_{1,4}$	$x_{2,4}$	$x_{3,4}$	$x_{4,4}$
$x_{1,5}$	$x_{2,5}$	$x_{3,5}$	$x_{4,5}$
$x_{1,6}$	$x_{2,6}$	$x_{3,6}$	$x_{4,6}$

...

$y_{1,1}$	$y_{2,1}$	$x_{3,1}$	$x_{4,1}$
$y_{1,2}$	$y_{2,2}$	$x_{3,2}$	$x_{4,2}$
$y_{1,3}$	$y_{2,3}$	$x_{3,3}$	$x_{4,3}$
$y_{1,4}$	$y_{2,4}$	$x_{3,4}$	$x_{4,4}$
$y_{1,5}$	$x_{2,5}$	$x_{3,5}$	$x_{4,5}$
$y_{1,6}$	$x_{2,6}$	$x_{3,6}$	$x_{4,6}$

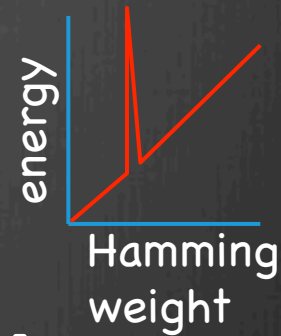
energy penalty:  
 $\leq 2$  new jumps  
 $\leq 1$  term from  $H_D$   
 (L bonds each  
 with weight  $1/L$ .)

$y_{1,1}$	$x_{2,1}$	$x_{3,1}$	$x_{4,1}$
$x_{1,2}$	$x_{2,2}$	$x_{3,2}$	$x_{4,2}$
$x_{1,3}$	$x_{2,3}$	$x_{3,3}$	$x_{4,3}$
$x_{1,4}$	$x_{2,4}$	$x_{3,4}$	$x_{4,4}$
$x_{1,5}$	$x_{2,5}$	$x_{3,5}$	$x_{4,5}$
$x_{1,6}$	$x_{2,6}$	$x_{3,6}$	$x_{4,6}$

...

$y_{1,1}$	$y_{2,1}$	$y_{3,1}$	$y_{4,1}$
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$y_{1,6}$	$y_{2,6}$	$y_{3,6}$	$y_{4,6}$

# QMC on the spike



$$E'(z) = |z| + n^\alpha 1_{|z|=n/4}$$

Quantum gap  $\propto 1 - n^{\alpha-1/2}$  for  $\alpha < 1/2$  [Reichardt]  
or  $n^{\alpha-1/2}$  for  $\alpha > 1/2$ .

We show QMC works when  $\alpha < 1/2$ .

relate to spikeless Hamiltonian

$$E(z) = |z|$$

$$\pi(z_{1,1}, \dots, z_{n,L}) = \pi_0(z_{1,1}, \dots, z_{1,L}) \cdot \dots \cdot \pi_0(z_{n,1}, \dots, z_{n,L})$$

$n$  decoupled 1-D Ising models.

$$\pi'(z) = C \pi(z) \exp(-n^\alpha [\# |z_i| = n/4] / L)$$